AMPS ASSOCIATION OF MEMBER-DIRECTED PENSION SCHEMES

AMPS Compliance Workshop Update

SEPTEMBER 2010

AMPS Compliance Workshop
by Tony Moore

As previously notified, we have identified demand for a workshop on compliance issues, which will be a full day, including buffet lunch, at the Institute of Directors in London. We have set the date as 14 October 2010.

This will be very much a practical hands on workshop, with an opportunity for discussion and debate with other compliance representatives attending. The workshop will be a frank discussion between delegates, and held under the Chatham House Rule:-

"When a meeting, or part thereof, is held under the Chatham House Rule, participants are free to use the information received, but neither the identity nor the affiliation of the speaker(s), nor that of any other participant, may be revealed".

Thanks to the compliance sub committee members for helping drive this forward and for the agenda items. However, if you have any burning issues that you would like to cover that are not on the agenda, then please let us know and we will try to ensure that it is considered on the day somehow.

We are delighted that Peter Lovegrove from the FSA has agreed to present on the day, and to be available for questions during his session and over a buffet lunch.

Spaces are likely to be extremely limited due to the desire to maintain a workshop feel to the day. Although the event will be subsidised by AMPS, there will be a small cost of £100 to attend to cover the expenses.

To register, please go to:

http://www.regonline.co.uk/compliance_2010

Just as a reminder, the FSA relationship is lead for AMPS by Martin Cadman ('martin@mctrustess.co.uk') and David Phillips ('david.phillips@dapco.co.uk'), whilst I, Tony Moore ('tony.moore@axa-sunlife.co.uk'), chair the AMPS compliance sub committee.

AMPS ASSOCIATION OF MEMBER-DIRECTED PENSION SCHEMES

Compliance workshop agenda

Time	Subject	Speaker
9.00	Registration	Claire May *Amayzed*
9.30	Chairman's opening / objectives for the day	Tony Moore *Axa – Sun Life*
9.40	Background to AMPS relationship with the FSA and summary of current issues of concern and projections / problems and issues	David Phillips *Dapco*
10.00	Corporate governance and preparing for an FSA visit	Mark Lisle *Compliance Manager-Rowanmoor Pensions*
10.45	Management information	Kevin Jack *Director-Enhance Support Solutions Ltd*
11.30	*Coffee*	
11.50	FSA	Peter Lovegrove *FSA*
12.30	*Buffet lunch*	
1.15	Retail Distribution Review (RDR)	Pat Bennett *Compliance Manager-Capita SIP Services* Kelly Cullum *Compliance Executive-Killik & Co*
2.00	Compliance – a lawyer's view	Paul Anderson *Partner, Financial Services Group (CF)* *Hammonds LLP*
2.30	Panel session Q and A and coffee	Robert Graves *Rowanmoor Pensions*

3.25	*Convenience break*	
3.30	Operators requirements on investments	Geoff Buck *Head of Pensions Administration-Killik & Co* Rosie Bhattacharjee *Risk and Compliance Director-A J Bell*
4.15	Summary and Chairman's close	Tony Moore

CONTACT
Agenda: tony.moore@axa-sunlife.co.uk
Organiser : claire@amayzed.co.uk